

THE DONATION ROOM



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

2016 OCT 17 PM 2:13

October 17, 2016

SENSITIVE

MEMORANDUM

TO: The Commission

THROUGH: Alec Palmer *AP*
Staff Director

FROM: Patricia C. Orrock *PCO*
Chief Compliance Officer

Debbie Chacona *DC/MHC*
Assistant Staff Director
Reports Analysis Division

BY: Kristin D. Roser/Ben Holly *KDR B.H.*
Reports Analysis Division
Compliance Branch

SUBJECT: Reason To Believe Recommendation – 2016 August Monthly Report for the
Administrative Fine Program

Attached is a list of political committees and their treasurers who failed to file the 2016 August Monthly Report in accordance with 52 U.S.C. § 30104(a). The August Monthly Report was due on August 20, 2016.

The committees listed on the attached RTB Circulation Report either failed to file the report or filed the report no more than thirty (30) days after the due date (considered a late filed report), or filed the report more than thirty (30) days after the due date (considered a non-filed report). In accordance with the schedule of civil money penalties for reports at 11 CFR 111.43, these committees should be assessed the civil money penalties highlighted on the attached circulation report.

Recommendation

1. Find reason to believe that the political committees and their treasurers, in their official capacity, listed on the RTB Circulation Report violated 52 U.S.C. § 30104(a) and make a preliminary determination that the civil money penalties would be the amounts indicated on the RTB Circulation Report.
2. Send the appropriate letters.

Federal Election Commission
Reason to Believe Circulation Report
2016 AUGUST MONTHLY Not Election Sensitive 08/20/2016 P_UNAUTH

10/17/2016 11:31 AM

AF#	Committee ID	Committee Name	Candidate Name	Treasurer	Threshold	PV	Receipt Date	Days Late	LOA	RTB Penalty
3143	C00525899	CONCERNED AMERICAN VOTERS		EDWARD KING	\$9,044,184	0	9/9/2016	20	\$1,315	\$152
3145	C00374298	NATIONAL PEANUT BUYING POINTS ASSOCIATION PEANUT BUYING POINT PAC		J. TYRON SPEARMAN	\$128,573	0	9/14/2016	25	\$8,650	\$214

BEFORE THE FEDERAL ELECTION COMMISSION

In the Matter of)
)
Reason To Believe Recommendation –)
2016 August Monthly Report for the)
Administrative Fine Program:)
CONCERNED AMERICAN VOTERS, and) AF# 3143
KING, EDWARD as treasurer;)

NATIONAL PEANUT BUYING POINTS) AF# 3145
ASSOCIATION PEANUT BUYING)
POINT PAC, and J TYRON SPEARMAN)
as treasurer;)

CERTIFICATION

I, Shawn Woodhead Werth, Secretary and Clerk of the Federal Election Commission, do hereby certify that on October 18, 2016 the Commission took the following actions on the Reason To Believe Recommendation – 2016 August Monthly Report for the Administrative Fine Program as recommended in the Reports Analysis Division's Memorandum dated October 17, 2016, on the following committees:

AF#3143 Decided by a vote of 6-0 to: (1) find reason to believe that CONCERNED AMERICAN VOTERS, and KING, EDWARD in his official capacity as treasurer, violated 52 U.S.C. 30104(a) and make a preliminary determination that the civil money penalty would be the amount indicated on the report; (2) send the appropriate letter.

Commissioners Goodman, Hunter, Petersen, Ravel, Walther, and Weintraub voted affirmatively for the decision.

AF#3145 Decided by a vote of 6-0 to: (1) find reason to believe that NATIONAL PEANUT BUYING POINTS ASSOCIATION PEANUT BUYING POINT PAC, and J TYRON SPEARMAN in his official capacity as treasurer, violated 52 U.S.C. 30104(a) and make a preliminary determination that the civil money penalty would be the amount indicated on the report; (2) send the appropriate letter. Commissioners Goodman, Hunter, Petersen, Ravel, Walther, and Weintraub voted affirmatively for the decision.

Attest:

October 19, 2016

Date

Shawn Woodhead Werth

Shawn Woodhead Werth
Secretary and Clerk of the Commission



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

October 19, 2016

Edward King, in official capacity as Treasurer
Concerned American Voters
107 South West Street, PMB 813
Alexandria, VA 22314

C00525899

AF#: 3143

Dear Mr. King:

The Federal Election Campaign Act of 1971, as amended ("the Act"), requires that your committee file an August Monthly Report of Receipts and Disbursements covering the period July 1, 2016 through July 31, 2016. This report shall be filed no later than August 20, 2016. 52 U.S.C. § 30104(a). Records at the Federal Election Commission ("FEC") indicate that this report was filed on September 9, 2016, 20 days late.

The Act permits the FEC to impose civil money penalties for violations of the reporting requirements of 52 U.S.C. § 30104(a). 52 U.S.C. § 30109g(a)(4). On October 18, 2016, the FEC found that there is reason to believe ("RTB") that Concerned American Voters and you, in your official capacity as treasurer, violated 52 U.S.C. § 30104(a) by failing to file timely this report on or before August 20, 2016. Based on the FEC's schedules of civil money penalties at 11 CFR § 111.43, the amount of your civil money penalty calculated at the RTB stage is \$152. Please see the attached copy of the Commission's administrative fine regulations at 11 CFR §§ 111.30-111.55. Attachment 1. The Commission's website contains further information about how the administrative fine program works and how the fines are calculated. See <http://www.fec.gov/af/af.shtml>. 11 CFR § 111.34. Your payment of \$152 is due within forty (40) days of the finding, or by November 27, 2016, and is based on these factors:

Election Sensitivity of Report: Not Election Sensitive
Level of Activity: \$1,315
Number of Days Late: 20
Number of Previous Civil Money Penalties Assessed: 0

At this juncture, the following courses of action are available to you:

1. If You Choose to Challenge the RTB Finding and/or Civil Money Penalty

If you should decide to challenge the RTB finding and/or calculated civil money penalty, you must submit a written response to the FEC's Office of Administrative Review, 999 E Street, NW, Washington, DC 20463. Your response must include the AF# (found at the top of page 1 under your committee's identification number) and be received within forty (40) days of the

Commission's RTB finding, or November 27, 2016. 11 CFR § 111.35(a). Your written response must include the reason(s) why you are challenging the RTB finding and/or calculated civil money penalty, and must include the factual basis supporting the reason(s) and supporting documentation. The FEC strongly encourages that documents be submitted in the form of affidavits or declarations. 11 CFR § 111.36(c).

The FEC will only consider challenges that are based on at least one of three grounds: (1) a factual error in the RTB finding; (2) miscalculation of the calculated civil money penalty by the FEC; or (3) your demonstrated use of best efforts to file in a timely manner when prevented from doing so by reasonably unforeseen circumstances that were beyond your control. 11 CFR § 111.35(b). In order for a challenge to be considered on the basis of best efforts, you must have filed the required report no later than 24 hours after the end of these reasonably unforeseen circumstances. *Id.* Examples of circumstances that will be considered reasonably unforeseen and beyond your control include, but are not limited to: (1) a failure of Commission computers or Commission-provided software despite your seeking technical assistance from Commission personnel and resources; (2) a widespread disruption of information transmissions over the Internet that is not caused by a failure of the Commission's or your computer systems or Internet service provider; and (3) severe weather or other disaster-related incident. 11 CFR § 111.35(c). Examples of circumstances that will not be considered reasonably unforeseen and beyond your control include, but are not limited to: (1) negligence; (2) delays caused by vendors or contractors; (3) treasurer and staff illness, inexperience or unavailability; (4) committee computer, software, or Internet service provider failures; (5) failure to know filing dates; and (6) failure to use filing software properly. 11 CFR § 111.35(d).

The "failure to raise an argument in a timely fashion during the administrative process shall be deemed a waiver" of your right to present such argument in a petition to the U.S. District Court under 52 U.S.C. § 30109. 11 CFR § 111.38.

If you intend to be represented by counsel, please advise the Office of Administrative Review. You should provide, in writing, the name, address and telephone number of your counsel and authorize counsel to receive notifications and communications relating to this challenge and imposition of the calculated civil money penalty.

2. If You Choose Not to Pay the Civil Money Penalty and Not to Submit a Challenge

If you do not pay the calculated civil money penalty and do not submit a written response, the FEC will assume that the preceding factual allegations are true and make a final determination that Concerned American Voters and you, in your official capacity as treasurer, violated 52 U.S.C. § 30104(a) and assess a civil money penalty.

Unpaid civil money penalties assessed through the Administrative Fine regulations will be subject to the Debt Collection Act of 1982 ("DCA"), as amended by the Debt Collection Improvement Act of 1996, 31 U.S.C. § 3701 *et seq.* The FEC may take any and all appropriate action authorized and required by the DCA, as amended, including transfer to the U.S. Department of the Treasury for collection. 11 CFR § 111.51(a)(2).

3. If You Choose to Pay the Civil Money Penalty

If you should decide to pay the calculated civil money penalty, follow the payment instructions on page 4 of this letter. Upon receipt of your payment, the FEC will send you a final determination letter.

NOTICE REGARDING PARTIAL PAYMENTS AND SETTLEMENT OFFERS

4. Partial Payments

If you make a payment in an amount less than the calculated civil money penalty, the amount of your partial payment will be credited towards the full civil money penalty that the Commission assesses upon making a final determination.

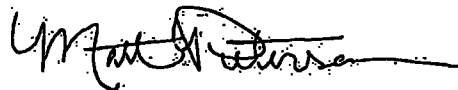
5. Settlement Offers

Any offer to settle or compromise a debt owed to the Commission, including a payment in an amount less than the calculated civil money penalty assessed or any restrictive endorsements contained on your check or money order or proposed in correspondence transmitted with your check or money order, will be rejected. Acceptance and deposit or cashing of such a restricted payment does not constitute acceptance of the settlement offer. Payments containing restrictive endorsements will be deposited and treated as a partial payment towards the civil money penalty that the Commission assesses upon making a final determination. All unpaid civil money penalty amounts remaining will be subject to the debt collection procedures set forth in Section 2, above.

This matter was generated based on information ascertained by the FEC in the normal course of carrying out its supervisory responsibilities. 52 U.S.C. § 30109(a)(2). Unless you notify the FEC in writing that you wish the matter to be made public, it will remain confidential in accordance with 52 U.S.C. § 30109(a)(4)(B) and 30109(a)(12)(A) until it is placed on the public record at the conclusion of this matter in accordance with 11 CFR § 111.42.

As noted earlier, you may obtain additional information on the FEC's administrative fine program, including the final regulations, on the FEC's website at <http://www.fec.gov/af/af.shtml>. If you have questions regarding the payment of the calculated civil money penalty, please contact Ben Holly in the Reports Analysis Division at our toll free number (800) 424-9530 (at the prompt press 5) or (202) 694-1130. If you have questions regarding the submission of a challenge, please contact the Office of Administrative Review at our toll free number (800) 424-9530 (press 0, then ext. 1660) or (202) 694-1660.

On behalf of the Commission,



Matthew S. Petersen
Chair

ADMINISTRATIVE FINE REMITTANCE & PAYMENT INSTRUCTIONS

In accordance with the schedule of penalties at 11 CFR § 111.43, the amount of your civil money penalty calculated at RTB is \$152 for the 2016 August Monthly Report.

You may remit payment by ACH withdrawal from your bank account, or by debit or credit card through Pay.gov, the federal government's secure portal for online collections. Visit www.fec.gov/af/pay.shtml to be directed to Pay.gov's Administrative Fine Program Payment form.

This penalty may also be paid by check or money order, made payable to the Federal Election Commission. It should be sent by mail to:

Federal Election Commission
P.O. Box 979058
St. Louis, MO 63197-9000

If you choose to send your payment by courier or overnight delivery, please send to:

U.S. Bank - Government Lockbox
FEC #979058
1005 Convention Plaza
Attn: Government Lockbox, SL-MO-C2GL
St. Louis, MO 63101

PAYMENTS BY PERSONAL CHECK

Personal checks will be converted into electronic funds transfers (EFTS). Your account will be electronically debited for the amount on your check, usually within 24 hours, and the debit will appear on your regular statement. We will destroy your original check and keep a copy of it. In case the EFT cannot be processed for technical reasons, you authorize us to process the copy in lieu of the original check. Should the EFT not be completed because of insufficient funds, we may try to make the transfer twice.

PLEASE DETACH AND RETURN THE PORTION BELOW WITH YOUR PAYMENT

FOR: Concerned American Voters

FEC ID#: C00525899

AF#: 3143

PAYMENT DUE DATE: November 27, 2016

PAYMENT AMOUNT DUE: \$152



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

2017 JAN 24 PM 2:02

SENSITIVE

January 24, 2017

MEMORANDUM

TO: The Commission

THROUGH: Alec Palmer *SW/H/for*
Staff Director

FROM: Patricia C. Orrock *PCO*
Chief Compliance Officer

Debbie Chacona *DC*
Assistant Staff Director
Reports Analysis Division

BY: *KOR* Kristin D. Roser/Ben Holly *B.H.*
Reports Analysis Division
Compliance Branch

SUBJECT: Administrative Fine Program – Final Determination Recommendation for the
2016 August Monthly Report

Attached is a list of political committees and their treasurers against which the Commission has found reason to believe (RTB) and assessed proposed civil money penalties calculated at RTB for failure to file or failure to timely file the 2016 August Monthly Report. The committees have paid the civil money penalties requested at RTB.

In accordance with 11 CFR § 111.34, the Commission shall send a final determination notice to the respondents that have paid the civil money penalties.

RAD Recommendation

- (1) Make a final determination that the political committees and their treasurers, in their official capacity, listed on the attached report violated 52 U.S.C. § 30104(a) and assess the final civil money penalties so indicated.
- (2) Send the appropriate letters.

1/24/2017 1:15 PM

Federal Election Commission
FD Circulation Report Fine Paid
2016 AUGUST MONTHLY Not Election Sensitive 08/20/2016 P_UNAUTH

AF#	Committee Name	Candidate Name	Committee ID	Treasurer	Receipt Date	Days Late	LOA	PV	RTB Date	RTB Penalty	Final Money Penalty	Date Paid	Amount Paid
3143	CONCERNED AMERICAN VOTERS		C00525899	EDWARD KING	09/09/2016	20	\$1,315	0	10/18/2016	\$152	\$152	10/31/2016	\$152
3145	NATIONAL PEANUT BUYING POINTS ASSOCIATION PEANUT BUYING POINT PAC		C00374298	J. TYRON SPEARMAN	09/14/2016	25	\$8,650	0	10/18/2016	\$214	\$214	10/20/2016	\$214

BEFORE THE FEDERAL ELECTION COMMISSION

17002712194

In the Matter of)
)
Administrative Fine Program – Final)
Determination Recommendation for the)
2016 August Monthly Report:)
CONCERNED AMERICAN VOTERS, and) AF# 3143
KING, EDWARD as treasurer;)
NATIONAL PEANUT BUYING POINTS) AF# 3145
ASSOCIATION PEANUT BUYING)
POINT PAC, and J TYRON SPEARMAN)
as treasurer;)

CERTIFICATION

I, Dayna C. Brown, Acting Secretary and Clerk of the Federal Election Commission, do hereby certify that on January 26, 2017 the Commission took the following actions on the Administrative Fine Program – Final Determination Recommendation for the 2016 August Monthly Report as recommended in the Reports Analysis Division's Memorandum dated January 24, 2017, on the following committees:

AF#3143 Decided by a vote of 6-0 to: (1) make a final determination that CONCERNED AMERICAN VOTERS, and KING, EDWARD in his official capacity as treasurer, violated 52 U.S.C. 30104(a) and assess the final civil money penalty so indicated; (2) send the appropriate letter. Commissioners Goodman, Hunter, Petersen, Rayel, Walther, and Weintraub voted affirmatively for the decision.

AF#3145 Decided by a vote of 6-0 to: (1) make a final determination that NATIONAL PEANUT BUYING POINTS ASSOCIATION PEANUT BUYING POINT

Federal Election Commission
Certification for Administrative Fines
January 26, 2017

Page 2

PAC, and J TYRON SPEARMAN in his official capacity as treasurer , violated 52 U.S.C. 30104(a) and assess the final civil money penalty so indicated; (2) send the appropriate letter. Commissioners Goodman, Hunter, Petersen, Ravel, Walther, and Weintraub voted affirmatively for the decision.

Attest:

January 26, 2017
Date

Dayna C. Brown
Dayna C. Brown
Acting Secretary and Clerk of the
Commission



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

January 27, 2017

Edward King, in official capacity as Treasurer
Concerned American Voters
107 South West Street, PMB 813
Alexandria, VA 22314

C00525899
AF#: 3143

Dear Mr. King:

On October 18, 2016, the Federal Election Commission ("the Commission") found reason to believe ("RTB") that Concerned American Voters and you, in your official capacity as treasurer, violated 52 U.S.C. § 30104(a) for filing late or failing to file the 2016 August Monthly Report. By letter dated October 19, 2016, the Commission notified you of the RTB finding and the civil money penalty calculated at the RTB stage totaling \$152 in accordance with the schedule of penalties at 11 CFR § 111.43.

On October 31, 2016, the FEC received payment of the civil money penalty calculated at the RTB stage. The FEC made a final determination on January 26, 2017 that Concerned American Voters and you, in your official capacity as treasurer, violated 52 U.S.C. § 30104(a), assessed a civil money penalty in the amount of \$152 in accordance with 11 CFR § 111.43, and voted to close the file.

The confidentiality provisions at 52 U.S.C. § 30109(a)(12) no longer apply and this matter is now public. Pursuant to 11 CFR §§ 111.42(b) and 111.20(c), the file will be placed on the public record within thirty (30) days from the date of this notification.

If you have any questions regarding this matter, please contact Aimee Wechsler on our toll free number (800) 424-9530 (at the prompt press 5) or (202) 694-1130.

On behalf of the Commission,

A handwritten signature in black ink, appearing to read "Steven T. Walther", is written over the typed name.

Steven T. Walther
Chair

THIS CHECK CONTAINS KANT KOPY VOID SECURITY FEATURE AND OTHER SECURITY FEATURES.

DB Capitol Strategies, PLLC

203 S. Union St, Ste 300
Alexandria VA 22314

68-903/56001

Check No. 5502

Date 10/25/2016

Pay to the
Order of Federal Election Commission

\$**152.00*

One Hundred Fifty-Two Only*****

DOLLARS

Access National Bank
Reston VA 20191

Memo C00525899, AF 3143 Concerned Ameri

Den B/L

Security feature. Details on back

INFORMATION

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